Chapter 1

Introduction

Era of Negotiations





ILLUSTRATION 1: Chancellor Willy Brandt, Foreign Minister Walter Scheel and Minister of the Interior Hans-Dietrich Genscher (from right to left) during a Bundestag session in December 1972.

Presse- und Informationsamt der Bundesregierung, B 145 Bild-00114278, Photographer: Ulrich Wienke.

I was resented in the East for it, and not everybody in the West agreed with me either, when I said that the participation of the Federal Republic of Germany in a European security conference would be pointless if the relationship between the two parts of Germany had not been settled first. The Federal Republic had some leverage here; I did not overestimate it, but we had it. My argument: if a wedding is planned and the other half of the bridal couple does not turn up, the other partner will not be very happy about it.

Willy Brandt in his memoirs¹

This conference will simultaneously address the possibilities of cooperation and the questions of security. Between East and West, North and South, I see the possibility to create common interests and responsibilities in Europe through economic and other connections which can develop more security for everyone.

Willy Brandt's Nobel Peace Prize speech, December 1971²

In his seminal work on the German role in Europe during the Cold War division, Timothy Garton Ash points out that an attempt to fairly characterise the CSCE³ position of the Federal Republic of Germany (FRG) would be a 'mammoth', bordering on a 'virtually impossible' task. 4 Admittedly, Garton Ash's comment refers to the entire duration of what became known as the Helsinki Process, whereas the focus here is restricted to the prehistory of the conference, with only the final chapter addressing the CSCE proper and its multilateral preparations. But the task is nonetheless ambitious.

This book analyses the role of the Federal Republic in the decade leading to the Helsinki Final Act of the CSCE in 1975. It particularly concentrates on the multilateral Western framework of policy construction in the years of 1969-72. During that period, the CSCE policy of the FRG was characterised by a fascinating combination of the two complementary elements inherent in the quotes from Chancellor Willy Brandt above. On the one hand, Bonn was interested in instrumentalising the CSCE, attempting to use the FRG's agreement to participate in the conference as a bargaining chip in the game where the fulfilment of its bilateral *Ostpolitik* was the main target. In the beginning, it was this short-term tactical approach that prevailed. On the other hand, the Federal Republic was also increasingly interested in the conference itself, hoping to be able to achieve substantive national foreign policy goals multilaterally in the CSCE. Over time, this long-term strategic approach surpassed the emphasis on short-term linkages. When the actual CSCE was opened, the FRG was well prepared to defend its national interests in this new multilateral framework.

In narrow terms, seen merely from the perspective of the FRG and the CSCE, this book embarks on a relatively uncharted territory. Existing scholarship on this particular case is sparse. Peter Becker's book from the early 1990s covers much the same substantive ground, but it employs a systemic

decision-making analysis rather than an historical approach. At times Becker provides a useful reference to the chronological development, but the book suffers from the complete lack of archival evidence to support it, leading to several factual mistakes and a relatively superficial analysis. 5 Stefanie Halle's master's thesis, in spite of its title, focuses almost exclusively on the *Ostpolitik* treaties as a backdrop for the FRG's CSCE policy.⁶ Kristina Spohr Readman, in contrast, has written a compelling article on the politics of language in the CSCE policy of the FRG in 1972–75. Working partly with the same original documents as this book, Spohr Readman's conclusions – highlighting the FRG's pursuit of national interests and its key role in the West – fit well with and thus confirm the arguments put forward here.⁷

West German CSCE policy has also been the topic of individual articles in edited volumes, including one by this author.8 Elements of the West German approach to the CSCE have also been touched upon in a number of excellent dissertations recently completed, but their focus has been broader, relating to actors, themes or time period. This book is therefore necessarily based on original research on recently released archival documents. However, it does not concentrate solely on the role of one particular state in a particular set of negotiations. Instead, it builds on and contributes to a scholarly discussion on three broader themes – European détente, the CSCE and West German foreign policy. I will next examine these three overlapping themes in more detail.

European Détente

The late 1960s and early 1970s ushered in a new period in the Cold War. Although far from replacing the Cold War confrontation completely, détente brought about a genuine reduction of tension, literally Entspannung in German, into the East–West relationship. Nowhere was this more visible than in Europe, on the continent most directly affected by the post-war division. It is therefore all the more surprising that the dominant current in the scholarship on the history of détente has up to this day focused predominantly on bipolar superpower relations. ¹⁰ A more diverse picture is emerging, fortunately.¹¹

For a long time, the research that did exist on European détente was that in name only, never really breaking free from the dominant paradigm.¹² Only recently have more nuanced interpretations surfaced, with outstanding general accounts of the global Cold War¹³ as well as of European postwar history.¹⁴ Jeremi Suri has raised the exciting new argument of détente as a global force for stability, even counterrevolution, rather than change. 15 With the widespread thirty-year rule in archives, the front line of basic research with original documents has also moved well into the 1970s. This is reflected in a recent flow of conferences and subsequent edited publications, significantly broadening our understanding of détente in general, and European détente in particular. 16 Piers Ludlow has drawn attention to the importance of bridging the divide between scholarship on European integration and that on the Cold War. 17 All of this has brought détente scholarship closer to the general trend of 'retroactive de-bipolarisation' of Cold War history.¹⁸

This approach should not be overworked, of course. Looking at the big picture, the United States and the Soviet Union did play a more significant role in the Cold War and détente than did, say, Belgium and Bulgaria. But that is not to say that the allies of the dominant superpowers, be they members of NATO or the Warsaw Pact, were always merely passive objects. The relationships within the alliances were far from constant – the configuration of power changed back and forth over time. In fact, in many respects the rapid dynamics within the alliances during the détente years are more fascinating than the actual East-West development that often moved at a sluggish pace.¹⁹

In the West, multilateral cooperation underwent a fundamental change in the decade beginning in the mid-1960s, with interaction between three institutional frameworks increasingly overlapping - especially in the CSCE context. Firstly, following the 1967 Harmel Report, NATO assumed a more outspoken political role than before, aiming to combine defence with détente. 20 This element of NATO, transforming the military alliance into a political actor and into a political forum for multilateral negotiations among its members, has not yet received the scholarly attention it merits.²¹

Secondly, NATO soon received a serious challenger, as another intra-Western forum for debating East–West policy emerged. As a result of the so-called Davignon Report in 1969, the six original members of the European Communities began to coordinate their foreign policy more closely, particularly in the areas of the Middle East and the CSCE. After the first Foreign Ministers' meeting of the European Political Cooperation (EPC) in November 1970, the procedure was institutionalised in frequent meetings on ministerial and bureaucratic levels, first among the six original members, but soon also including the four applicant countries.²² With the exception of a few early analyses, 23 the literature has for a long time neglected these early years of the EPC's development and glossed over them only as a prelude to what is now the common foreign and security policy of the European Union.²⁴ Recently, however, Daniel Möckli's brilliant volume on the years 1969-74 has underscored the importance of this formative period. 25 Angela Romano has also contributed to a better understanding of the role of the EPC in the CSCE context.²⁶

Thirdly, there was the extremely influential small circle called the Bonn Group. Consisting of representatives of the US, Britain, France and the FRG, this four-power consultation group had been set up in the mid-1950s as an informal forum to discuss issues pertaining to Berlin and Germany as a whole. During the high tide of Ostpolitik, however, the work of the Bonn Group swiftly intensified, with meetings taking place on various levels on a weekly basis, and sometimes every day. When its influence peaked in 1969-72, its de facto mandate was considerably broader than originally foreseen. On the Bonn Group, Helga Haftendorn remains the most authoritative scholar.27

This book sets out to investigate the complex interplay within these Western frameworks from the perspective of the Federal Republic. As will be seen, the profoundly multifaceted nature of European détente becomes apparent. In the period covered here, all of these formations were developing, each expanding their efforts from their own niches – NATO from the military, the EC from the politico-economic, and the Bonn Group from the focus on Germany and Berlin - towards the centre of European détente. In this centre lay the CSCE.

The CSCE

The concept of a pan-European conference on security issues was an old idea of the Soviet Union, suggested for the first time in 1954, shortly before the Federal Republic joined NATO. But the process towards the actual CSCE truly got under way in the late 1960s, with successive Warsaw Pact proposals and particularly the so-called Budapest Appeal in 1969. When an initiative of the Finnish Government to host such a conference brought a neutral terrain into the equation, NATO and the Warsaw Pact engaged in a curious three-year dialogue of communiqués about the conference. The direct negotiating contact between East, West and the neutrals was finally initiated in the multilateral preparations for the conference in the autumn of 1972, and went on until the following summer, when the Foreign Ministers of the participating countries launched the CSCE proper in Helsinki. After two years of intensive negotiations in Geneva in 1973–75, the landmark Helsinki Final Act was signed in the Finnish capital in the summer of 1975.²⁸

Given the significance of the CSCE in the general development of détente, the early years of the conference have so far been surprisingly little in the limelight. Within the research that exists, the years prior to the Final Act have usually merely been treated as a prologue to the 'real' history of the CSCE, beginning in 1975. Moreover, with the privilege of knowing the outcome of the Cold War and the role that the Helsinki Final Act, particularly its principles of respect for human rights and fundamental freedoms and the provisions on cooperation in humanitarian fields in the so-called Basket III, played in it, it is certainly a challenge to see the early CSCE years in historical context. Most of the post-1989 literature on the CSCE fails to avoid this 'hindsight trap', emphasising either the role of human rights or the general idea of dynamic change excessively, often leading to a touch of triumphalism.²⁹

Another set of CSCE literature are the memoirs of former diplomats who had participated in the conference.³⁰ These reminiscences provide fascinating inside information about the negotiations, especially in the Geneva phase of the CSCE. For the period leading up to the conference, however, they are of little use. Again, it has only been in the past few years that the archival situation has enabled historians to engage in thorough research on the early stages preceding the Final Act.³¹ The authoritative general history of the CSCE still remains to be written.

This book aims to place the early period of the CSCE in its historical context, instead of looking at it through the prism of 1989. Conventional wisdom has it that most of the contents of the Helsinki Final Act were already in place after the multilateral preparatory talks in Dipoli, collected in the so-called Blue Book in the summer of 1973. Naturally, several crucial details only emerged during the meticulous negotiations in Geneva in 1973–75. Nonetheless, this book ventures to argue that a majority of the pieces had fallen into place already before the Dipoli phase of the conference. The formative years of the CSCE were in 1969–72, when the West attempted to get its own act together for the East-West talks. In that intra-Western process, the FRG was a decisive actor.

West German Foreign Policy

The third broad theme within which this book is written is that of the foreign policy of the Federal Republic during the Cold War. This was a curious construct, characterised by a 'double containment' – restricted by the burden of past horrors as well as by the East–West confrontation.³² Accepting the external limits set to its sovereignty, the FRG nevertheless sought to expand its freedom of manoeuvre as far as those limits permitted by an increasing multilateralisation of its foreign policy. Throughout the Cold War decades, the so-called German question constantly remained in the core of the foreign policy decision-making in Bonn. Regardless of the coalition in power at a given time, no option chosen could endanger the overarching principle of keeping the German question open and with it the possibility of reunification. This principle was best formulated in the

so-called 'letter on German unity' in connection with the Moscow Treaty in August 1970. In this letter, the Federal Government declared that the Moscow Treaty was in no contradiction with the political aim of the FRG to create 'a state of peace in Europe in which the German people can regain its unity in free self-determination'. 33 It is from this sentence that the title of this book is drawn, too.

Nevertheless, the ways in which this principle was implemented varied considerably over time. From the late 1960s, the inflexible Hallstein Doctrine, in effect refusing to recognise the existence of two German states, began to give way to new approaches to Deutschlandpolitik - West German policy towards the GDR, as well as to Ostpolitik – West German policy towards the East in general. Small-step changes occurred during the Grand Coalition of Chancellor Kurt Georg Kiesinger in 1966-69. But it was Kiesinger's successor, Willy Brandt, who led the Federal Republic to the dramatic changes in its relations with the East. The credo of this policy had been expressed by Brandt's aide Egon Bahr already in his 1963 landmark speech in Tutzing – Wandel durch Annäherung, change through rapprochement.34

And change there was. In the time frame of a mere three years, the Brandt Government completed all the major Ostpolitik achievements. The FRG signed and ratified renunciation-of-force agreements with the Soviet Union and Poland, signed the so-called traffic accords with the GDR, and concluded the negotiations on the Basic Treaty with the GDR. The US, the Soviet Union, Britain and France also signed and ratified the Quadripartite Agreement on the status of Berlin. On 19 November 1972, just three days before the beginning of the multilateral CSCE preparations, Brandt's coalition won a decisive victory in the federal election, which was widely perceived as a plebiscite on Ostpolitik. When Brandt suddenly resigned in May 1974 due to the Guillaume spy affair, his successor, Helmut Schmidt, could pick up the leadership of West German foreign policy from a completely transformed starting point.

There is naturally an abundance of first-rate literature on the foreign policy of the FRG in that era which Gottfried Niedhart has characterised as the second formative phase of the Federal Republic.³⁵ General accounts of the history of Germany or the Western part of it have embedded foreign policy in a broader framework, taking also into account the domestic and societal developments.³⁶ Volumes focusing on the foreign policy of the Federal Republic highlight the degree of continuity between the various governments.³⁷ Moreover, there is plenty of research focusing more specifically on the late 1960s and early 1970s, with a clear emphasis on the Brandt years at the expense of Kiesinger.³⁸ And finally, all the research is substantiated by numerous memoirs of key politicians and officials.³⁹

From the perspective of this book, however, there is one significant shortcoming in the existing literature. There has been a tendency to see the bilateral Ostpolitik and the multilateral CSCE process as separate developments, with the latter only having properly started once the former had been completed. The argument in this book is that the bilateral and multilateral elements were part of the same complex process and interacted constantly from the very beginning. Ostpolitik and the CSCE were thus parallel, not consecutive phenomena. The time frame chosen here, concentrating largely on the 'prehistory' of the CSCE proper, serves the purpose of underscoring this early connection.

The Federal Republic was in many ways at the epicentre of the CSCE. On the one hand, the German question was both geographically and substantively at the heart of any considerations of enhancing European security and cooperation. On the other, the exceptional position of the FRG as a full member in all of the three relevant frameworks - NATO, the EPC and the Bonn Group - gave it an ideal institutional setting to exert influence over common Western conference preparations.⁴⁰ The CSCE provided the Federal Republic with unprecedented opportunities to utilise multilateral mechanisms to pursue its national interests.

When those interests were defined in Bonn, the inner-German relationship was always the core factor. From that perspective, finding ways to come to terms with the existing division of Europe was often at least as important as attempting to overcome it. As a result, West German interests were seen to lie in gradual and non-controversial steps towards more cooperation, accompanied by a considerable number of defensive measures to protect the provisional, modus vivendi nature of the status quo. As this book argues, the pursuit of those interests was to a large extent successful. But it was not a recipe for sudden change.

On Structure and Sources

In the past decade, the scholarly discussion about new approaches and new interpretations has led some historians to talk festively about a 'new Cold War history', following the traditionalist, revisionist and post-revisionist phases of the 'old' one. 41 Perhaps the most striking element of this discussion has been the way in which the importance of 'ideology' in explaining the Cold War has been en vogue. 42 But in taking ideas, beliefs and perceptions seriously one should not forget the importance of old-fashioned Realpolitik. It is often futile to try to find a clear-cut division between motives based on interests on the one hand, ideas and beliefs on the other. Instead, it is important to understand this interrelationship, to see how

interests and ideas influenced each other, leading to constant redefinition of both sides of the equation, before merging into policy. Neither interests nor ideas were carved in stone. Reactions to changes in the international environment reshaped interests as well as ideas and beliefs. Melvyn Leffler's thoughts on these dynamics have been inspirational for this book, although one of his latest books, with its focus on US and Soviet leaders, indirectly tends to consolidate a bipolar view of the Cold War. 43

The structure of this book also emphasises the interaction between interests and ideas. In the case at hand, bargaining with clear national interests in the form of linkages and leverages constantly merged with the approach based on the more elusive ideological concept of a European peace order. In fact, for the FRG this concept of a peace order was not purely ideological, either. As the potential 'state of peace in Europe' this peace order was intimately linked to Deutschlandpolitik interests. The general structure of the book is chronological, dividing the subject matter into five chapters in sequential order. Whereas chapter 2 also follows chronology internally, the following three chapters are clearly divided in two. Each of the chapters 3, 4 and 5 focuses first on the FRG's 'linkage' policy approach to CSCE preparations, then on its agenda interests. As becomes apparent, the respective weightings changed over time, with the long-term strategy concentrating on the conference agenda surpassing the short-term tactics of instrumentalisation and linkage. Finally, chapter 6 portrays the way in which the FRG tackled those issues at the CSCE proper which it considered to be in its immediate national interests.

The chronological watersheds between the chapters arise genuinely from the developments in the foreign policy of the FRG and in the preparations for the CSCE. Chapter 2 covers the years 1966–69, the reign of the Grand Coalition in Bonn. At the same time as the Kiesinger Government slowly prepared ground for a sea change in Ostpolitik, the Western perception of the prospective CSCE changed from outright rejection to a more forthcoming scepticism.⁴⁴ Chapter 3, addressing the years 1969–70, falls into the first year of the Brandt Government, ending with the signature of the landmark Moscow Treaty. On the CSCE front, following the Budapest Appeal and the Finnish initiative in 1969, the Western conference preparations started earnestly, albeit slowly, within NATO.

Chapter 4 deals with the years 1970–71, and witnesses the shift from strictly bilateral Ostpolitik to the emphasis on the Quadripartite negotiations on Berlin, which were completed in September 1971. Meanwhile, from the autumn of 1970 there was new movement in the Western CSCE deliberations, as the nascent EPC began to challenge NATO as the central Western framework. Chapter 5 leads us through the years 1971–72, with Bonn focusing on its negotiations with East Berlin on the *Ostpolitik* front. In

parallel, there was a completely fresh burst of West German activity on the CSCE track. West German interests were increasingly pursued by multilateral means, defensively as well as offensively. Ultimately, chapter 6 moves from the landslide election victory of the Brandt-Scheel Government and the opening of the conference in Helsinki to track the unfolding of the West German CSCE policy under the new Schmidt-Genscher leadership.

In addition to a reconsideration of ideological factors, another key feature of the 'new' Cold War history has been the growing emphasis on the need for multiarchival research. Much of the discussion about the novelty of that approach can safely be disregarded as unnecessary hype. Nevertheless, the discussion, originating from the end of the Cold War and the partial opening of archives in the former Eastern bloc, has had the important result of making scholars more generally alert than before to the opportunities of a multiarchival approach within the West as well. Even if the archives of the former Soviet Union for the time being remain by and large inaccessible for research on the 1960s and later periods, combining, say, French, German, American and British sources with each other enables a more thorough picture of the Cold War years to be seen.

Also in this respect, this book joins in the 'new' debate. Concentrating on a multilateral conference project, a multiarchival approach has come in naturally. The perspective here is not only multiarchival but truly international, making use of archives in eight countries.⁴⁵ Since the focus is on the CSCE policies of the FRG, the most essential archives for my research are located in Germany. Of central importance is the archive of the Auswärtiges Amt (AA) in Berlin. The papers of the German Foreign Ministry are in principle accessible according to the thirty-year rule, but in effect this applies directly only to unclassified and low-rated confidential material. This deficiency is partly overcome by the excellent publication series Akten zur Auswärtigen Politik der Bundesrepublik Deutschland (AAPD), which gives access to numerous secret and highly confidential documents, carefully edited and referenced. 46 Moreover, the archive of the AA holds a far broader set of documents originally declassified for the editors of the AAPD series, afterwards microfilmed, organised chronologically and made accessible to all researchers in the collection B150.

Put together, these documents provide a reasonably wide body of evidence. Nonetheless, as far as the AAPD and B150 series are concerned, the arbitrary nature of the selection remains – someone else has made the choices. Requests for additional declassification have proved to be frustrating experiences. In Germany, further useful archives are the Bundesarchiv in Koblenz which, along with the papers of the Chancellery, also holds some interesting personal collections. At least as significant are the archives of the political parties, especially those of the SPD in the *Friedrich-Ebert-Stiftung* in Bonn and the CDU in the Konrad-Adenauer-Stiftung in Sankt Augustin.

In addition to Germany, national archives in two other countries of the Western alliance have provided important additional information. The US and UK sources have helped me enormously in putting the West German position into perspective. Regarding the published documents from these countries, the record is mixed. In the case of the US, the outstanding series Foreign Relations of the United States (FRUS) covers the Nixon and Ford years widely. The FRUS volumes dealing with European security on the one hand, and Germany and Berlin on the other, have been particularly valuable.⁴⁷ The British equivalent to FRUS, Documents on British Policy Overseas (DBPO), pales in comparison, but offers nonetheless some useful additions to the documents accessible in the archives.⁴⁸

As far as the Western organisations are concerned, the results from the EC archives in Florence were largely disappointing – since there was no permanent EPC secretariat, documents on the EPC process are far more prolific in the individual national archives. The opposite is the case with NATO documents. Without exception, official non-public NATO documents are removed from the files in the national archives before they are made available to researchers. Technically, a unanimous consensus of all NATO members is required before a declassification decision can be made. Thus, although the NATO archives in theory follow the thirty-year rule, in practice it has come to resemble forty years. Nonetheless, after persistent efforts over several years, in the winter of 2006 I was finally given access to a set of CSCE-related documents from the years 1969-72 in the NATO archives in Brussels. Those documents have been precious in substantiating my argument in this book.

Two obvious omissions in the archival evidence of this book stand out - the Soviet Union and France. Regarding the Soviet Union, as already indicated above, the situation is unequivocal. Foreign policy documents from the 1960s and 1970s are simply not available. Given the focus on the Western coordination in this book, this is less of a loss. To a certain extent, the problem can be circumvented by the use of East German and Finnish archives, which provide useful, albeit partial glances at Soviet thinking. Beyond that, on a more general level, the Finnish archives offer a helpful view on the CSCE from the perspective of the conference host.

As far as France is concerned, taking into account the third Western country among the Four Powers responsible for Berlin and Germany as a whole, alongside the US and the UK, would undoubtedly be advantageous. Originally, it was the notoriously difficult access to the French archives that led to the decision to exclude them. Later on, as the situation improved, I have corrected this omission at least superficially. Due to time constraints, however, the research in the French archives has not been even close to as comprehensive as elsewhere.

reader on the pages that follow.

Notes

- 1. Willy Brandt, *Begegnungen und Einsichten: Die Jahre 1960–75*, Hamburg: Hoffmann und Campe 1976, 248. Brandt had used exactly the same formulation in January 1970, see AAPD 1970, doc 29, meeting Brandt–Pompidou, 30 Jan 1970.
- 2. Willy Brandt, 'Friedenspolitik in unserer Zeit', speech in Oslo, 11 Dec 1971, Bundeskanzler-Willy-Brandt-Stiftung (BWBS), http://www.bwbs.de/content/frontend/userimages/Brandt/Nobelpreisrede.pdf>.
- 3. The acronyms used for the conference during its preparatory stages varied repeatedly over time, from ESC (European Security Conference) to CES (Conference on European Security) to CSE (Conference on Security in Europe). For the sake of clarity, I have chosen to refer to the conference consistently by its final name, the CSCE (Conference on Security and Cooperation in Europe). The only exceptions to that rule are in direct citations, where the acronyms of the original documents are used.
- 4. Timothy Garton Ash, In Europe's Name: Germany and the Divided Continent, London: Vintage 1994, 261–2.
- 5. Peter Becker, Die frühe KSZE-Politik der Bundesrepublik Deutschland: Der aussenpolitische Entscheidungsprozess bis zur Unterzeichnung der Schlussakte von Helsinki, Münster and Hamburg: Lit Verlag 1992. Originally a master's thesis, Becker's volume relies heavily on Rüdiger Lentz, 'Die Entwicklung der deutschen Position zur KSZE – multilaterale Problemstellung und innerorganisatorische Innovation', in: Helga Haftendorn, Wolf-Dieter Karl, Joachim Krause and Lother Wilker (eds.), Verwaltete Aussenpolitik: Sicherheits- und entspannungspolitische Entscheidungsprozesse in Bonn, Köln: Verlag Wissenschaft und Politik 1978, 151–65.
- Stefanie Halle, 'Auf dem Wege nach Helsinki: Positionen und Interessen der Bundesrepublik Deutschland während der Konsolidierungsphase für die KSZE 1969–1972', Master's Thesis, University of Hamburg 1993.
- 7. Kristina Spohr Readman, 'National Interests and the Power of "Language": West German Diplomacy and the Conference on Security and Cooperation in Europe, 1972–1975', *The Journal of Strategic Studies* 6/29 (2006), 1077–120.
- 8. Petri Hakkarainen, 'From Linkage to Freer Movement: The FRG and the Nexus between Western CSCE Preparations and Deutschlandpolitik, 1969–72', in: Andreas Wenger, Vojtech Mastny and Christoph Nuenlist (eds.), Origins of the European Security System: The Helsinki Process Revisited, 1965–75, London: Routledge 2008, 164–82; Oliver Bange, 'An Intricate Web: Ostpolitik, the European Security System and German Unification', in: Oliver Bange and Gottfried Niedhart (eds.), Helsinki 1975 and the Transformation of Eu-

- rope, New York and Oxford: Berghahn Books 2008, 23-38; Gottfried Niedhart, 'Peaceful Change of Frontiers as a Crucial Element in the West German Strategy of Transformation', in: Bange and Niedhart (eds.), Helsinki 1975, 39-52. For a fairly general portrayal of the interplay of German CSCE and MBFR policies, see Wilfried Loth, 'The Road to Vienna: West German and European Security from 1969 to 1973', in: Wilfried Loth and Georges-Henri Soutou (eds.), The Making of Détente: Eastern and Western Europe in the Cold War, 1965-75, London: Routledge 2008, 153-67.
- 9. Hartmut Mayer, 'National Foreign Policy through Multilateral Means: The Federal Republic of Germany and European Political Cooperation 1969-86', D. Phil. Thesis, University of Oxford 2001; Daniel Möckli, European Foreign Policy During the Cold War: Heath, Brandt, Pompidou and the Dream of Political Unity, London: I.B. Tauris 2008; Takeshi Yamamoto, 'The Road to the Conference on Security and Cooperation in Europe, 1969-1973: Britain, France and West Germany', Ph.D. thesis, London School of Economics 2007.
- 10. John L. Gaddis, The Cold War: A New History, London: Allen Lane 2006; Raymond L. Garthoff, Détente and Confrontation: American-Soviet Relations from Nixon to Reagan, Washington: Brookings 1994; Keith L. Nelson, The Making of Détente: Soviet-American Relations in the Shadow of Vietnam, Baltimore: Johns Hopkins University Press 1994.
- 11. Melvyn P. Leffler and Odd Arne Westad (eds.), The Cambridge History of the Cold War: Vol. II, Crises and Détente, Cambridge: Cambridge University Press 2010.
- 12. Richard Davy (ed.), European Detente: A Reappraisal, London: Sage 1992; Kenneth Dyson (ed.), European Détente: Case Studies of the Politics of East-West Relations, London: Pinter 1986; Wilfried Loth, Helsinki, 1. August 1975: Entspannung und Abrüstung, München: dtv 1998; John van Oudenaren, Détente in Europe: The Soviet Union and the West since 1953, Durham and London: Duke University Press 1991.
- 13. Odd Arne Westad, The Global Cold War: Third World Interventions and the Making of Our Times, Cambridge: Cambridge University Press 2005; Bernd Stöver, Der Kalte Krieg: Geschichte eines radikalen Zeitalters 1947–1991, München: Beck 2007.
- 14. William Hitchcock, The Struggle for Europe: The Turbulent History of a Divided Continent 1945–2002, London: Profile Books 2003; Tony Judt, Postwar: A History of Europe Since 1945, London: William Heinemann 2005.
- 15. Jeremi Suri, Power and Protest: Global Revolution and the Rise of Détente, Cambridge, Mass.: Harvard University Press 2003.
- 16. David C. Geyer and Bernd Schaefer (eds.), American Détente and German Ostpolitik, 1969–72, Washington: German Historical Institute 2004; N. Piers Ludlow (ed.), European Integration and the Cold War: Ostpolitik-Westpolitik, 1965-73, London: Routledge 2007; Loth and Soutou (eds.), The Making of Détente; Jan van der Harst (ed.), Beyond the Customs Union: The European Community's Quest for Deepening, Widening and Completion, 1969-1975, Brussels: Bruylant 2007; Wenger, Mastny and Nuenlist (eds.), Origins of the European Security System.
- 17. N. Piers Ludlow, 'Introduction' and 'Conclusions', in: Ludlow (ed.), European Integration and the Cold War, 1-9, 174-9.
- 18. James G. Hershberg, 'The Crisis Years, 1958-63', in: Odd Arne Westad (ed.), Reviewing the Cold War: Approaches, Interpretations, Theory, London and Portland: Frank Cass 2000, 303-25, here 304; see also Tony Smith, 'New Bottles for New Wine: A Pericentric Framework for the Study of the Cold War', Diplomatic History 4/24 (2000), 567-91.
- 19. For an influential monograph on the dynamics between the GDR and the Soviet Union, see Mary E. Sarotte, Dealing with the Devil: East Germany, Détente and Ostpolitik, 1969-1973, Chapel Hill: University of North Carolina Press 2001.
- 20. For recently declassified documents from the NATO archives on the genesis of the Harmel Report, see the *Parallel History Project* (PHP) website http://www.php.isn.ethz.

- ch>. A thorough analysis of the road to the Harmel Report is provided by Andreas Wenger, 'Crisis and Opportunity: NATO's Transformation and the Multilateralization of Détente, 1966-1968', Journal of Cold War Studies 1/6 (2004), 22-74.
- 21. Lawrence Kaplan, The Long Entanglement: NATO's First Fifty Years, Westport: Praeger 1999; Gustav Schmidt (ed.), A History of NATO: The First Fifty Years, Basingstoke: Palgrave 2001.
- 22. For a brief outline, see Hanns-Jürgen Küsters, 'Die aussenpolitische Zusammenarbeit der Neun und die KSZE', in: Haftendorn et al. (eds.), Verwaltete Aussenpolitik, 85-98.
- 23. Jan Höhn, Aussenpolitik der EG-Staaten im Fall der KSZE: Geschichte Struktur Entscheidungprozess - Aktion - Möglichkeiten und Grenzen, München: tuduv 1978; Reinhard Rummel and Wolfgang Wessels (eds.), Die europäische politische Zusammenarbeit: Leistungsvermögen und Struktur der EPZ, Bonn: Europa Union Verlag 1978.
- 24. Simon Nuttall, European Political Co-operation, Oxford: Clarendon Press 1992; Simon Nuttall, European Foreign Policy, Oxford: Oxford University Press 2000; Elfriede Regelsberger, Philippe de Schoutheete de Tervarent and Wolfgang Wessels (eds.), Foreign Policy of the European Union: From EPC to CFSP and Beyond, Boulder: Lynne Rienner 1997. Slightly more emphasis to the early years is given in Alfred E. Pijpers, The Vicissitudes of European Political Cooperation: Towards a Realist Interpretation of the EC's Collective Diplomacy, Leiden: Leiden University Press 1990, 125-45.
- 25. Möckli, European Foreign Policy; see also Daniel Möckli, 'Speaking with One Voice? The Evolution of a European Foreign Policy', in: Anne Deighton and Gérard Bossuat (eds.), The EC/EU: A World Security Actor?, Paris: Soleb 2007, 132–51.
- 26. Angela Romano, From Détente in Europe to European Détente: How the West Shaped the Helsinki CSCE, Brussels: P.I.E. Peter Lang 2009; Angela Romano, 'The Nine and the Conference in Helsinki: A Challenging Game with the Soviets', in: van der Harst (ed.), Beyond the Customs Union, 83-105; Angela Romano, 'Western Europe's Self-assertion towards the Superpowers: The CSCE Chance and its Aftermath', in: Deighton and Bossuat (eds.), The EC/EU, 152–69.
- 27. Helga Haftendorn, 'Das institutionelle Instrumentarium der Alliierten Vorbehaltsrechte: Politikkoordinierung zwischen den Drei Mächten und der Bundesrepublik Deutschland', in: Helga Haftendorn and Henning Riecke (eds.), '... die volle Macht eines souveränen Staates': Die Alliierten Vorbehaltsrechte als Rahmenbedingung westdeutscher Außenpolitik 1949-1990, Baden-Baden: Nomos 1999, 37-80; Helga Haftendorn, 'The "Quad": Dynamics of Institutional Change', in: Helga Haftendorn, Robert O. Keohane and Celeste A. Wallander (eds.), Imperfect Unions: Security Institutions over Time and Space, Oxford: Oxford University Press 1999, 162-94.
- 28. For a solid and concise account on the general CSCE development from the 1950s to 1975, see the introduction in Christoph Breitenmoser, Sicherheit für Europa: Die KSZE-Politik der Schweiz bis zur Unterzeichnung der Helsinki-Schlussakte zwischen Skepsis und aktivem Engagement, Zürcher Beiträge zur Sicherheitspolitik und Konfliktforschung, Heft Nr. 40, Zürich 1996, 21-48. See also Marianne Hanson, 'The Conference on Security and Cooperation in Europe: The Evolution of a Code of Conduct in East-West Relations', D. Phil. Thesis, University of Oxford 1992, 16-198. The essential public documents from the pre-CSCE era are collected in Sicherheitskonferenz in Europa: Dokumentation 1954-1972, edited by Friedrich-Karl Schramm, Wolfram-Georg Riggert and Alois Friedel, Frankfurt am Main: Alfred Metzner Verlag 1972.
- 29. Wilfried von Bredow, Der KSZE-Prozess: Von der Zähmung zur Auflösung des Ost-West-Konflikts, Darmstadt: Wissenschaftliche Buchgesellschaft 1992; Victor-Yves Ghebali, La Diplomatie de la détente: La CSCE, d'Helsinki à Vienne (1973-1989), Bruxelles: Bruyllant 1989; Daniel C. Thomas, The Helsinki Effect: International Norms, Human Rights, and the

- Demise of Communism, Princeton: Princeton University Press 2001; William Korey, The Promises We Keep: Human Rights, the Helsinki Process, and American Foreign Policy, New York: St. Martin's Press 1993. For earlier views, see Frans A. M. Alting von Geusau (ed.), Uncertain Détente, Alphen aan den Rijn: Sijthoff & Noordhoff 1979; Nils Andrén and Karl E. Birnbaum (eds.), Beyond Détente: Prospects for East-West Co-operation and Security in Europe, Leyden: A.W. Sijthoff 1976.
- 30. Ljubov Acimovic, Problems of Security and Cooperation in Europe, Alphen aan den Rijn: Sijthoff & Noordhoff 1981; Luigi Vittorio Ferraris (ed.), Report on a Negotiation: Helsinki-Geneva-Helsinki, 1972–1975, Alphen aan den Rijn: Sijthoff & Noordhoff 1979; John J. Maresca, To Helsinki: The Conference on Security and Cooperation in Europe, 1973–1975, Durham: Duke University Press 1987; Jacques Andréani, Le Piège: Helsinki et la chute du communisme, Paris: Odile Jacob 2005; Hans-Jörg Renk, Der Weg der Schweiz nach Helsinki: Der Beitrag der schweizerischen Diplomatie zum Zustandekommen der Konferenz über Sicherheit und Zusammenarbeit in Europa (KSZE), 1972–1975, Bern: Paul Haupt 1996; Paavo Keisalo, Hätäpotkusta voittomaali: Suomi Euroopan turvallisuus- ja yhteistyökonferenssin valmisteluprosessissa, Helsinki: Edita 2007; Berndt von Staden, Der Helsinki-Prozess, München: Oldenbourg 1990; Markku Reimaa, Helsinki Catch: European Security Accords 1975, Helsinki: Edita 2008.
- 31. Yamamoto, 'The Road to the CSCE'; Wenger, Mastny and Nuenlist (eds.), Origins of the European Security System. See also the discussion paper by Keith Hamilton, The Last Cold Warriors: Britain, Détente and the CSCE, 1972-1975, St. Antony's College, University of Oxford 1999.
- 32. Helga Haftendorn, Deutsche Aussenpolitik zwischen Selbstbeschränkung und Selbstbehauptung, 1945-2000, Stuttgart/München: DVA 2001, here 10.
- 33. Europa-Archiv (EA) 1970, D399.
- 34. Egon Bahr, Sicherheit für und vor Deutschland: Vom Wandel durch Annäherung zur Europäischen Sicherheitsgemeinschaft, München: Hanser 1991, 11–17.
- 35. Gottfried Niedhart, 'Ostpolitik and its Impact on the Federal Republic's Relationship with the West', in: Loth and Soutou (eds.), The Making of Détente, 117–32, here 118. For a useful overview of the state of play in the research in this field, see Oliver Bange, 'Ostpolitik - Etappen und Desiderate der Forschung: Zur internationalen Einordnung von Willy Brandts Aussenpolitik', Archiv für Sozialgeschichte 46 (2006), 713–36.
- 36. Heinrich August Winkler, Der lange Weg nach Westen, Band 2: Deutsche Geschichte vom 'Dritten Reich' bis zur Wiedervereinigung, München: Beck 2000; Manfred Görtemaker, Geschichte der Bundesrepublik Deutschland: Von der Gründung bis zur Gegenwart, München: Beck 1999; Edgar Wolfrum, Die geglückte Demokratie: Geschichte der Bundesrepublik Deutschland von ihren Anfängen bis zur Gegenwart, Stuttgart: Klett-Cotta 2006; Peter Pulzer, German Politics 1945-1995, Oxford: Oxford University Press 1995; Anthony J. Nicholls, The Bonn Republic: West German Democracy, 1945-90, London: Longman 1997; Peter Graf Kielmansegg, Nach der Katastrophe: Eine Geschichte des geteilten Deutschlands, Berlin: Verlag Wolf Jobst Siedler GmbH 2000; Klaus Hildebrand, Von Erhard zur Grossen Koalition, 1963-69, Stuttgart: DVA 1984; Karl Dietrich Bracher, Wolfgang Jäger and Werner Link, Republik im Wandel: Die Ära Brandt, 1969–74, Stuttgart: DVA 1986.
- 37. Haftendorn, Deutsche Aussenpolitik; Garton Ash, In Europe's Name; Christian Hacke, Die Aussenpolitik der Bundesrepublik Deutschland: Weltmacht wider Willen?, Berlin: Ullstein 1997; Gregor Schöllgen, Die Aussenpolitik der Bundesrepublik Deutschland: Von den Anfängen bis zur Gegenwart, München: C.H. Beck 2001; Peter Bender, Die 'Neue Ostpolitik' und ihre Folgen: Vom Mauerbau bis zur Vereinigung, München: dtv 1996; Wolfram F. Hanrieder, Germany, America, Europe: Forty Years of German Foreign Policy, New Haven and London: Yale University Press 1989; Heinrich Potthoff, Im Schatten der Mauer: Deutschlandpolitik 1961 bis 1990, Berlin: Propyläen 1999.

- 38. Arnulf Baring, Machtwechsel: Die Ära Brandt-Scheel, Darmstadt: DVA 1982; Gottfried Niedhart, 'Ostpolitik: The Role of the Federal Republic in the Process of Détente', in: Carole Fink, Philipp Gassert and Detlef Junker (eds.), 1968: The World Transformed, Cambridge: Cambridge University Press 1998, 173-92; Frank Fischer, 'Im deutschen Interesse': Die Ostpolitik der SPD von 1969 bis 1989, Husum: Matthiesen 2001; Stephan Fuchs, 'Dreiecksverhältnisse sind immer kompliziert': Kissinger, Bahr und die Ostpolitik, Hamburg: Eva 1999; William E. Griffith, The Ostpolitik of the Federal Republic of Germany, Cambridge (Mass.) and London: MIT Press 1978.
- 39. Egon Bahr, Zu meiner Zeit, Berlin: Siedler 1998; Brandt, Begegnungen und Einsichten; Willy Brandt, Erinnerungen, Berlin: Propyläen 1989; Paul Frank, Entschlüsselte Botschaft: Ein Diplomat macht Inventur, Stuttgart: DVA 1981; Hans-Dietrich Genscher, Erinnerungen, Berlin: Siedler 1995; Wilhelm G. Grewe, Rückblenden 1976-51: Aufzeichnungen eines Augenzeugen deutscher Aussenpolitik von Adenauer bis Schmidt, Stuttgart: Propyläen 1979; Ulrich Sahm, 'Diplomaten taugen nichts': Aus dem Leben eines Staatsdieners, Düsseldorf: Droste 1994; Benno Zündorf (pseudonym for Antonius Eitel), Die Ostverträge: Die Verträge von Moskau, Warschau, Prag, das Berlin-Abkommen und die Verträge mit der DDR, München: Beck 1979; Berndt von Staden, Zwischen Eiszeit und Tauwetter: Diplomatie in einer Epoche des Umbruchs, Berlin: wjs 2005. See also Philipp Gassert, Kurt Georg Kiesinger, 1904–1988: Kanzler zwischen den Zeiten, München: DVA 2006.
- 40. Of other Bonn Group members, the United States was obviously not included in the EPC. The UK joined the EPC mechanisms later on, but for a while only with the status of an EC applicant. France, then again, having pulled out of its military command in 1967, had a somewhat special position in NATO.
- 41. See in particular Westad (ed.), Reviewing the Cold War.
- 42. Douglas J. Macdonald, 'Formal Ideologies in the Cold War: Toward a Framework for Empirical Analysis', in: Westad (ed.), Reviewing the Cold War, 180-204; Odd Arne Westad, 'The New International History of the Cold War: Three (Possible) Paradigms', Diplomatic History 4/24 (2000), 551-65.
- 43. Melvyn P. Leffler, For the Soul of Mankind: The United States, the Soviet Union, and the Cold War, New York: Hill and Wang 2007.
- 44. 'Elastic scepticism' is the characterisation used of the West German CSCE attitude during the Kiesinger Government in Sicherheit und Zusammenarbeit in Europa (KSZE): Analyse und Dokumentation, edited by Hans-Adolf Jacobsen, Wolfgang Mallman and Christian Meier, Köln: Wissenschaft und Politik 1973, 42.
- 45. In order to avoid a cacophony of languages, direct quotations have throughout the book been translated into English by the author, unless otherwise indicated.
- 46. AAPD 1967-75, 23 volumes, München: Oldenbourg 1998-2006.
- 47. Foreign Relations of the United States 1969-1976 (FRUS), Vol. XXXIX, European Security, 1969-76, edited by Douglas E. Selvage, United States Government Printing Office: Washington D.C. 2008; Vol. XL, Germany and Berlin, 1969-72, edited by David C. Geyer, United States Government Printing Office: Washington D.C. 2008, both available online at http://www.state.gov/r/pa/ho/frus/nixon/>.
- 48. Documents on British Policy Overseas (DBPO), Series III, Vol I, Britain and the Soviet Union 1968-72, edited by Gill Bennett and Keith Hamilton, London: The Stationery Office 1997; Series III, Vol II, The Conference on Security and Cooperation in Europe, 1972-75, edited by Gill Bennett and Keith Hamilton, London: The Stationery Office 1997; Series III, Vol III, Détente in Europe, 1972-76, edited by Gill Bennett and Keith Hamilton, London: Whitehall History Publishing and Frank Cass 2001.